

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

O I P E  
JAN 26 2003

In the application of: **Lynn Forester**

Group No.: **Not Yet Assigned**

Application No.: **10/698865**

Examiner: **Not Yet Assigned**

Filed: **October 30, 2003**

For: **Layered Hard Mask and Dielectric Materials and Methods Therefor**

Mail Stop DD  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
WITHIN THREE MONTHS OF FILING OR  
BEFORE MAILING OF FIRST OFFICE ACTION (37 C.F.R. 1.97(b))**

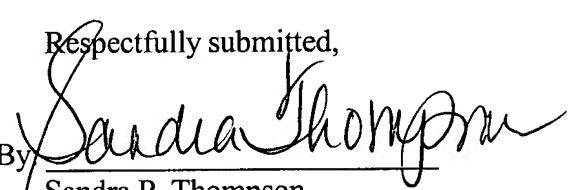
**IDENTIFICATION OF TIME OF FILING THE ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT**

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 C.F.R. 1.97(b).

Date: January 21, 2004

Respectfully submitted,

By

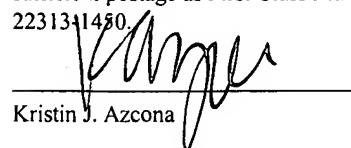
  
**Sandra P. Thompson**  
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**CERTIFICATE OF MAILING (37 C.F.R. 1.8(a))**

I hereby certify that, on the date shown below, this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail, in an envelope addressed to the Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.

  
Kristin J. Azcona

Date: January 21, 2004

Honeywell's Docket No. 30-4899 DIV -4780  
Practitioner's Docket No. 7210065001-3221000

IN THE UNITED STATE PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231



Re application of: **Lynn Forester**

Serial No: **10/698,865**

Filed: **October 30, 2003**

For: **Layered Hard Mask and Dielectric  
Materials and Methods Therefor**

Group: **Not Yet Assigned**

Examiner: **Not Yet Assigned**

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop DD  
Commissioner of Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure imposed by 37 C.F.R. § 1.56 to inform the United States Patent and Trademark Office of all references coming to the attention of the Applicant(s) or attorneys or agents for Applicant(s) which are or may be material to the examination of the subject application, attorneys for the Applicant(s) hereby invite the Examiner's attention to the references listed in the accompanying PTO Form 1449 entitled "List of References Cited".

This submission is understood to complement the results of the Examiner's own independent search. The submission of this Disclosure Statement should not be construed as a representation that a search was made, or that the cited items are inclusive of all relevant and material citations that may be available publicly.

**Honeywell's Docket No. 30-4899 DIV -4780**  
Practitioner's Docket No. 7210065001-3221000

Applicant(s) respectfully request that the Examiner review the foregoing references, as set forth in the Form PTO-1449, and that they be made of record in the file history of the above-captioned application.

Respectfully submitted,

Bingham McCutchen

By:   
Sandra P. Thompson  
Reg. No. 46,264

Dated: January 21, 2004

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<b>LIST OF REFERENCES CITED BY APPLICANT</b> <i>(Use several sheets if necessary)</i>	ATTY. DOCKET NO.	SERIAL NO.
	7210065001-3221000	10/698865
	APPLICANT	
	Lynn Forester	
	FILING DATE	GROUP
October 30, 2003	Not Yet Assigned	


**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	BB						
	CC						
	DD						
	EE						
	FF						
	GG						
	HH						
	II						

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	JJ							
	KK							

**OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)**

	LL	
	MM	PCT Search Report dated September 3, 2002
	PP	

EXAMINER	DATE CONSIDERED
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.